

**From:** lisa.lim@akerman.com  
**Sent time:** 10/24/2014 12:46:12 PM  
**To:** Adams, Kenneth (ESD); Studer, Sara (ESD)  
**Cc:** joanna.mclean@akerman.com  
**Subject:** Economic Development in New York State and Beyond - An Evening with Kenneth Adams and Steve Polivy

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Kenneth:

I hope that you are well. I just wanted to touch base with you about our upcoming event on November 18<sup>th</sup> from 6 to 8:30 pm. It will be entitled, "Economic Development in New York State and Beyond – An Evening with Kenneth Adams, President and Chief Executive Officer of Empire State Development and Steve Polivy, Chair, Economic Development and Incentives Practice, Akerman LLP."

Question 1:

Which ESD programs would you like to cover as we would like to draft that into the invitation?

- Start-Up, CFA, Tax Incentives, Loans and Grants? This is entirely up to you. You will have about 30 minutes to speak. Steve will cover EB-5 financing.

Question 2:

Also, would you have any objection to having members of the Press present? I would like to invite my friend, Connie Ford, who is a business editor at the Wall Street Journal as well as Laura Kusisto, who is a reporter at the Wall Street Journal. The other contact that would like to invite is Amir Korangy of the Real Deal. Let me know if you have any concerns.

Anyway, I am very excited to be putting this together with you. Have a great weekend. Thanks.

**Lisa S. Lim**

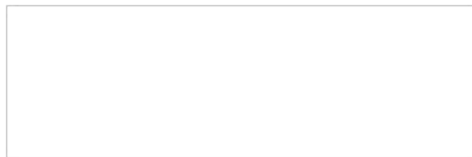
Partner

Akerman LLP | 666 Fifth Avenue | 20th Floor | New York, NY 10103

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[lisa.lim@akerman.com](mailto:lisa.lim@akerman.com)

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**From:** lisa.lim@akerman.com  
**Sent time:** 11/24/2014 03:00:48 PM  
**To:** Adams, Kenneth (ESD); Chan, Joseph (ESD); Patel, Mehul (ESD)  
**Cc:** kasi.macfarland@akerman.com  
**Subject:** FW: December 8 - ICSC NYC Reception at China Grill  
**Attachments:** image001.jpg image002.jpg image003.jpg

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Dear Kenneth, Joseph, and Mehul:

I hope that all is well. A group of my leasing partners put this together. It should be a nice reception at China Grill with a focus on retail. I will be there. Let me know.

**Lisa S. Lim**

Partner

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[lisa.lim@akerman.com](mailto:lisa.lim@akerman.com)

**Please Join Us**

For a networking reception for real estate executives and retail industry professionals

Akerman LLP's Retail Leasing & Development practice invites you to an exclusive networking reception with cocktails and heavy hors d'oeuvres in connection with the International Council of Shopping Centers 2014 New York Conference.

**Where**  
[China Grill](#)  
60 West 53rd Street  
New York, NY

**When**  
**Monday, December 8, 2014**  
5:30 pm - 8:00 pm  
Transportation will be provided from the Jacob K. Javits Convention Center

**RSVP NOW**

**Additional Details**  
For additional information regarding this event, please contact Kasi MacFarland at 407.419.8436 or at [kasi.macfarland@akerman.com](mailto:kasi.macfarland@akerman.com).

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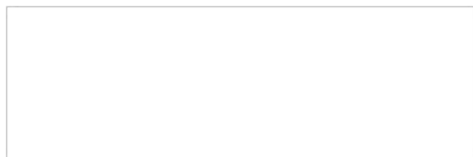
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**From:** lisa.lim@akerman.com  
**Sent time:** 12/05/2014 01:35:23 PM  
**To:** Adams, Kenneth (ESD); Chan, Joseph (ESD); Patel, Mehul (ESD)  
**Cc:** kasi.macfarland@akerman.com  
**Subject:** RE: December 8 - ICSC NYC Reception at China Grill

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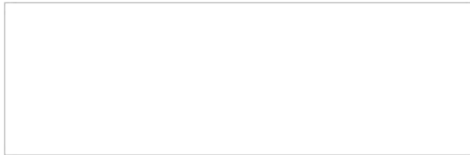
Kenneth, Joe, Mehul:

It would be great if you could drop in on Monday evening to this reception but if you can't make it, have a great Holiday Season! Joe: thank you for all your help with our event. It was a great success.

**Lisa S. Lim**

Partner  
Akerman LLP | 666 Fifth Avenue | 20th Floor | New York, NY 10103  
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**From:** Lim, Lisa (Ptrn-NY)  
**Sent:** Monday, November 24, 2014 3:01 PM  
**To:** Kenneth Adams - Empire State Dev. Corp. (Kenneth.Adams@esd.ny.gov); Joseph Chan (Joseph.Chan@esd.ny.gov); Mehul Patel - Empire State Development Corp. (mehul.patel@esd.ny.gov)  
**Cc:** MacFarland, Kasi (Orl-Mktng)  
**Subject:** FW: December 8 - ICSC NYC Reception at China Grill

Dear Kenneth, Joseph, and Mehul:

I hope that all is well. A group of my leasing partners put this together. It should be a nice reception at China Grill with a focus on retail. I will be there. Let me know.

**Lisa S. Lim**

Partner  
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**Additional Details**

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**From:** lisa.lim@akerman.com  
**Sent time:** 10/29/2014 11:44:06 AM  
**To:** Fine, Elizabeth (ESD); Adams, Kenneth (ESD)  
**Cc:** Studer, Sara (ESD); Patel, Mehul (ESD); Chan, Joseph (ESD)  
**Subject:** RE: November Event

---

Great, thank you very much, Liz. I hope to see you there as well.

**Lisa S. Lim**

Partner  
Akerman LLP | 666 Fifth Avenue | 20th Floor | New York, NY 10103  
Dir: 212.880.3861 | Main: 212.880.3800 | Fax: 212.905.6401  
[lisa.lim@akerman.com](mailto:lisa.lim@akerman.com)

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**From:** Fine, Elizabeth (ESD) [mailto:Elizabeth.Fine@esd.ny.gov]  
**Sent:** Wednesday, October 29, 2014 11:32 AM  
**To:** Lim, Lisa (Ptnr-NY); Adams, Kenneth (ESD)  
**Cc:** Studer, Sara (ESD); Patel, Mehul (ESD); Chan, Joseph (ESD)  
**Subject:** RE: November Event

Dear Lisa – It was great to catch up with you and I am glad to hear that things are going so well. I spoke to Joe Chan and he would be happy to speak at the Bar Association event to discuss ESD initiatives. I am cc'ing him here and you should feel free to be in touch with him directly to work out details.

Thanks and all the best,  
Liz

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**From:** lisa.lim@akerman.com [mailto:lisa.lim@akerman.com]  
**Sent:** Sunday, October 26, 2014 12:02 PM  
**To:** Adams, Kenneth (ESD)  
**Cc:** Studer, Sara (ESD); Patel, Mehul (ESD); Fine, Elizabeth (ESD)  
**Subject:** Re: November Event

Dear Kenneth:

I think there may be a misunderstanding. Here is a fuller explanation of the program and the ethics rules that are applicable to it.

This is an event that will be co- sponsored by the Asian American Bar Association of New York and Akerman LLP. The audience would consist of members of AABANY (which are comprised of lawyers from all around the New York area), our firm's clients, and is open to the general public. I thought it would provide an excellent forum for you to tout ESD and ESD's programs. We are not covering ESD or NYS economic development unless you or someone from ESD comes to speak at the program. So, you are the only person at ESD who I am working with now. I imagine that this would not be much different than the Biotechnology Symposium that you spoke at earlier this year.

As for the ethics rules, they do not prohibit this event at all. Those rules prohibit me personally from representing clients before ESD for two years. I am not personally representing any clients before ESD. Moreover, there are express exceptions in the ethics rules which permit me to teach. But those exceptions aren't necessary as I am not teaching at this program, either about ESD or any other topic. My only speaking role at this program would be to introduce the speakers.

And I would love to have the pleasure of introducing a speaker from ESD. But the day is drawing close, so I do need to know if Joe or an ESD representative can come if you are not able to do so. If ESD is not available [on November 18<sup>th</sup>](#), we will eliminate the ESD portion of the program. I hope that will be able to work on something together in the future if logistics do not align for this event. Thanks.

**Lisa S. Lim**

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[lisa.lim@akerman.com](mailto:lisa.lim@akerman.com)

On Oct 26, 2014, at 9:28 AM, "Adams, Kenneth (ESD)" <[Kenneth.Adams@esd.ny.gov](mailto:Kenneth.Adams@esd.ny.gov)> wrote:

Let us check. Whom are you working with to set up this event? Has ESD agreed to participate? Please explain more about the event -- more background info, etc. Looping Liz because this may not be appropriate given your restrictions under the Ethics Law.

Thanks,

Kenneth

---

**From:** [lisa.lim@akerman.com](mailto:lisa.lim@akerman.com) <[lisa.lim@akerman.com](mailto:lisa.lim@akerman.com)>

**Sent:** Saturday, October 25, 2014 3:48:55 PM

**To:** Adams, Kenneth (ESD)

**Cc:** Studer, Sara (ESD)

**Subject:** RE: November Event

Kenneth:

We have a program set up to promote ESD and its programs on November 18<sup>th</sup>. Can you send Joe Chan in your place? Thanks.

**Lisa S. Lim**

Partner

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**From:** Adams, Kenneth (ESD) [<mailto:Kenneth.Adams@esd.ny.gov>]

**Sent:** Friday, October 24, 2014 6:07 PM

**To:** Lim, Lisa (Ptnr-NY); Adams, Kenneth (ESD)

**Cc:** Studer, Sara (ESD)

**Subject:** RE: November Event

Hi Lisa,

I am not able to participate in this event.

Thanks,

Kenneth

---

**From:** [lisa.lim@akerman.com](mailto:lisa.lim@akerman.com) [<mailto:lisa.lim@akerman.com>]

**Sent:** Friday, October 10, 2014 6:02 PM

**To:** Adams, Kenneth

**Cc:** Studer, Sara

**Subject:** RE: November Event

Hi Kenneth:

Any chance that we can get you here after the Election? I realize that you will be totally booked until then. Thanks and have a nice weekend.

**Lisa S. Lim**

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**From:** Lim, Lisa (Ptnr-NY)  
**Sent:** Wednesday, October 01, 2014 12:18 PM  
**To:** '[KAdams@esd.ny.gov](mailto:KAdams@esd.ny.gov)'  
**Cc:** '[SStuder@esd.ny.gov](mailto:SStuder@esd.ny.gov)'  
**Subject:** November Event

Dear Kenneth:

I hope that you are well. I am settling into my new firm and enjoying it very much. I am planning a co-hosted event with the Asian Bar Association of New York that will focus on economic development in November. I would love if you could speak at this event about some of ESD/DED business programs (initial thoughts: CFA, tax credit programs, and real estate pipeline). My managing partner, Steve Polivy, will speak about EB-5 financing. The format will likely be an early evening panel with reception to follow. We would schedule this event around your availability. Thank you.

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**From:** lisa.lim@akerman.com  
**Sent time:** 10/26/2014 09:08:04 PM  
**To:** Fine, Elizabeth (ESD)  
**Cc:** Adams, Kenneth (ESD); Studer, Sara (ESD); Patel, Mehul (ESD)  
**Subject:** Re: November Event

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Liz,

I will call you tomorrow. Thanks. Have a good evening.

**Lisa S. Lim**

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On Oct 26, 2014, at 12:48 PM, "Fine, Elizabeth (ESD)" <[Elizabeth.Fine@esd.ny.gov](mailto:Elizabeth.Fine@esd.ny.gov)> wrote:

Hi lisa! I hope you are well and enjoying private practice. Let's touch base tomorrow on this and figure out who would be a good person to speak at your Bar Association event. Thanks!

Liz

---

**From:** [lisa.lim@akerman.com](mailto:lisa.lim@akerman.com) <[lisa.lim@akerman.com](mailto:lisa.lim@akerman.com)>  
**Sent:** Sunday, October 26, 2014 12:02:08 PM  
**To:** Adams, Kenneth (ESD)  
**Cc:** Studer, Sara (ESD); Patel, Mehul (ESD); Fine, Elizabeth (ESD)  
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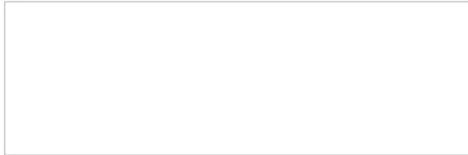
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